PTO/SB/21 (08-00) Please type a plus sign (+) inside this box -> + Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/077,546 Application Number TRANSMITTAL Filing Date February 15, 2002 **FORM** First Named Inventor Peter A. LEEDS (to be used for all correspondence after initial filing) 2165 Group Art Unit **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number L9090/269380 ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Proprietary Information After Final Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): 1. Form PTO 08A and 08B (2) Terminal Disclaimer sheets) Express Abandonment Request Request for Refund 2. 37 publications Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks RECEIVED OCT 2 2 2002 Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Geoff L. Sutcliffe, Reg. No. 36,348 Individual name Signature PATENT TRADEMARK OFFICE Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name Signature Date Kossi

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GROUP 36

APPLICANT:

Peter A. Leeds, et al.

**SERIAL NO.:** 

10/077,546

GROUP ART UNIT:

2165

FILED:

February 15, 2002

**EXAMINER:** 

Unknown

FOR:

MANAGEMENT SYSTEMS AND

METHODS FOR MAXIMIZING

RETURN ON ASSETS

ATTORNEY DOCKET NO.: L9090/269380

Assistant Commissioner for Patents Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §§ 1.56, 1.97, and 1.98), enclosed are copies of the references listed on the attached modified Form PTO-08A and Form PTO-08B.

The undersigned does not concede that any of the identified materials constitute prior art within the meaning of the United States patent laws.

This Information Disclosure Statement is being filed before the mailing of the first Office Action on the merits (37 C.F.R. 1.97(b)(3)); therefore, no fee is believed to be required. However, if a first Office Action on the merits has issued, please consider this

## **CERTIFICATE OF MAILING (37 CFR 1.82)**

I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on this 224 day of 2 , 2002 with sufficient postage as first-class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

1424503

U.S.S.N.:10/077,546 Filed: February 15, 2002 Applicant: Peter A. Leeds et al.

Information Disclosure Statement under 37 C.F.R. 1.97(c). The Commissioner is hereby authorized to charge the applicable fees, if any, to Deposit Account No. 11-0855.

Respectfully submitted,

Geoff L. Sutcliffe Reg. No. 36,348

Attorney for Assignee

KILPATRICK STOCKTON LLP 1100 Peachtree Street, Suite 2800 Atlanta, Georgia 30309 404.815.6530

Attorney Docket No.: L9090/269380

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|------------------------|-------------------|---|--|--|
| Application Number     | 10/077,546        |   |  |  |
| Filing Date            | February 15, 2002 |   |  |  |
| First Named Inventor   | Peter A. Leeds    |   |  |  |
| Group Art Unit         | 2165              |   |  |  |
| Examiner Name          |                   |   |  |  |
| Attorney Docket Number | L9090/269380      | 7 |  |  |

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Sheet 1 of 3 Attorney Docket Number

| Examiner<br>Initials  |                          | Document Number Publication D   |           | Name of Patentee or Applicant of |                |                     | Pages, Columns, Lines, Where Relevant        |                |
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| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Country Code <sup>3</sup> – Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |           | Date Applicant of Cited Document |                |                     | Passages or Relevant<br>Figures Appear       | T <sup>6</sup> |
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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional) . <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.
<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

10/077,546 Application Number February 15, 2002 Filing Date Peter A. LEEDS First Named Inventor Group Art Unit 2165 **Examiner Name** 

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|                                   | Application Number     | 10/077,546        |  |  |  |
| INFORMATION DISCLOSURE            | Filing Date            | February 15, 2002 |  |  |  |
| STATEMENT BY APPLICANT            | First Named Inventor   | Peter A. LEEDS    |  |  |  |
|                                   | Group Art Unit         | 2165              |  |  |  |
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